Please type a plus sign a inside this to + Patent :

1449A/PTO Patent and Trademark Office

RITOR ART CITED BY

of

PTO/SB/08A (6-95)
Approved for use through 09/30/98, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known					
Application Number	04/868,026				
Filing Date	JANUARY 1/22002				
First Named Inventor	RIOGT ETAL				
Group Art Unit	AAHON KOHT				
Examiner Name	LANGUEGO NICHOLS				
 Attorney Docket Number	A3400 US PCT				

U.S. PATENT DOCUMENTS

	Pages, Columns,							
-	G/A-	U.S. Patent		Name of Patentee or Applicant	Date of Publication of Cited Document	Lines, Where Relevant Passages or Relevant		
Examiner Initials	Cite No.1	Number	Kind Code (if known)	of Cited Document	MM-DD-YYYY	Figures Appear		
-					RECE	IVED		
					AUG 0	6 2002		
					TECH CENTE	R 1600/2900		
		ļ						
		 						

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. 1		eign Patent D	Kind	Code	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т ⁶
موے	AA	wo	91/06631			Case Western	05-16-1991		
cmo	AB	wo	94/01135			Present fordation	01-20-1994		
		1							Ш
		ļ							-
		L							\vdash
		1	t						$oldsymbol{oldsymbol{oldsymbol{eta}}}$

EXAMINER SIGNATURE CALL DATE CONSIDERED 6/8/2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

I Unique citation designation number. 2 se attached kinds of U.S. Patent Documents. Es ter Office that issued the document, by the two letter code (WIPO Standard ST.3). For 4 spanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. Applicant is to place a 6 seck mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 2023 1. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 2023 1.

PTO/SB/08A (6-95) Approved for use through 09/30/98, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (-

1449A/PTO

U.S. Department of Commerce Patent and Trademark Office

Complete if Known Application Number Filing Date First Named Inventor Group Art Unit

APPLICANT (use as many sheets as necessary) NUS 0 1 2002

Examiner Name A3400 Attorney Docket Number

2 of Sheet

LIST OF PRIOR ART CITED SYP

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	Т2
ريء	AL	Adrien, J. et al., Biochemical and Electrophysiological evidence for an agonist action of CM57493 at pre- and post-synaptic 5-HT1A receptors in Brain, J. Pharmacol. Exp. Ther. 248:1222-1230 (1989)	
روں	AD	Aloisi, F. et al., Astrocyte Cultures from Human Embryonic Brain: Characterization and modultion of Surface Molecules by Inflammatory Cytokines, J. Neurosci. Res. 32:494-506 (1992)	
B	AE	Aubert, I. et al., Regeneration in the Adult Mammalian CNS: Guided by Development., Curr. Opin. Neurobiol. 5:625-635 (1995)	
B	AF	Bilang-Bleuel et al., Intrastriatal Injection of an Adenoviral Vector Expressing GDNF Prevents Dopaminergic Neuron Degeneration and Behavioral Impairment in a Rat Model of Parkinson's Disease, Proc. Natl. Acad. Sci. USA 94:8818-8823 (1997)	
03	N6	Castillo Jr. et al., Reginal Ganglion Cell survival is Promoted by Genetically Modified Astrocytes designed to Secrete Brain-Derived Neutrophic Factor (BDNF), Brain Res. 647:30-37 (1994)	
13 ³	Ан	Corti et al., Intracerebral Tetracycline-dependent Regulation of Gene Expression in Grafts of Neural Precursors., NeuroReport 7:1655-1659 (1996)	
cg	AI	Cunningham et al., The Use of Genetically Altered Astrocytes to Provide Nerve Growth Factor (NGF) to Adrenal Chromaffin Cell Grafted into the Striatum., Brain Res. 561:192-202 (1991)	_
9	AT	Cunningham et al., Nerve Growth Factor Released by Transgenic Astrocytes enhanced the Function and Chromaffin Cell Grafts in a Rat Model of Parkinson's Disease, Brain Res. 568:219-223 (1994) AUG 0 & 24	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

I Unique citation designation number. 2 applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Please typicalp	De Eagn (c) ins	_	AUG 0 1 2012	Approved for Patent and Trademark Office	PTO/SB/08A (6-95) use through 09/30/98, OMB 0651-0031 E: U.S. DEPARTMENT OF COMMERCE
14494/PTO	Ag.	U.S. Departn	nent of Confinerce	Comple	te if Known
(AUS 0	2 200	Patent and	Trademark Office	Application Number	09/868,026
IST IST	OF BRIOF	RART CITE	D BY	Filing Date	Marsh 11, 2002
W. T.	APPL			First Named Inventor	RIDGE EXAL
TO E	many she	ets as necess	ary)	Group Art Unit	malson 1647
`)			Examiner Name	HARRING NICHOLS
Sheet	3	of	7	Attorney Docket Number	A3400 US PCT

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	Т2
03	AK	Fisher et al., Grafting in the Mammalian Central Nervous System, Physiol. Rev. 73:583-616 (1993)	
03	AL	Fisher, L.J., Neural Precursor Cells: Applications for the Study and Repair of the Central Nervous System., Neurobiol. Dis. 4:1-22 (1997)	
CS	Am	Fisher et al., Survial and Function of Intrastriatally grafted Fibroblasts Genetically modified to Produce L-DOPA., Neuron 6:371-380 (1996)	
090	AN	Fritschy et al., Brain Cell Type Specificity and Gliosis-Induced Activation of the Human Cytomegalovirus Immediate-Early Promoter in Transgenic Mice., J. Neurosci. 16:2275-82 (1996)	
05	Ao	Gage et al., Isolation, Characterization and Use of Stem Cells from the CNS., Ann. Rev. Neurosci. 18:159-192 (1995)	
OS	AP	Goodman et al., Adrenoviral-mediated Thymidine Kinase Gene Transfer into the Primate Brain followed by Systemic Ganciclovir: Pathologic, Radiologic and Molecular Studies., Human Gene Ther. 7:1241-1250 (1996)	
400	10	Gossen et al., Tight Control of Gene Expression in Mammalian Cells by Tetacycline-responsive Promoters, Proc. Natl. Acad. Sci. USA 89:5547-5551 (1992)	
45	AR	Gossen et al., Transcriptional Activation by Tetracyclines in Mammalian Cells, Science 268:1769-1869 (1995)	_
<u> </u>	!	AUG 0 6 2002	

EXAMINER SIGNATURE	aviilas	DATE CONSIDERED 6/8/03TECH CENTER 1600/2900
	LEST VILLERY	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered unclude copy of this form with next communication to applicant.

1 Unique citation designation number. 2 applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Please type a pl			ent of Contraction	Refent and Trademark Office	PTO/SB/08A (6-95) use through 09/30/98, OMB 0651-0031 E: U.S. DEPARTMENT OF COMMERCE
(AUG DE BOOK	Patent and T	rademark Office	Application Number	04/868,026
LIS	PRESTION	ART CITE	D BY	Filing Date	JAMAN 11, 2002
~ ~	MARBO			First Named Inventor	DIOLT GT AL
(us	s many shee		ry)	Group Art Unit	market so 1017
				Examiner Name	MANGERSO NICHOUS
Sheet	4	of	7	Attorney Docket Number	A3400 US PCT

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	T 2
B	As	Horellou et al., In vivo Release of DOPA and Dopamine from Genetically Engineered Cells Grafted to the Denervated Striatum., Neuron 5:393-402 (1990a)	
6	AT	Horellou et al., Behavioral Effect of Engineered Cells that synthesize L-DOPA or Dopamine after Grafting into the Rat Neostriatum, Eur. J. Neurosci. 2:116-119 (1990b)	
(3)	An	Horellou et al., Direct Intracerebral Gene Transfer of an Adenoviral Vector Expressing Tyrosine Hydroxylase in a Rat Model of Parkinson's Disease, NeuroReport 6:49-53 (1994)	
00)	AV	Juorio et al., Decarboxylation of L-DOPA by Cultured Astrocytes., Brain Res. 626:49-53 (1993)	
روی	AW	Kistner et al., Doxycycline-mediated Quantitative and Tissue-specific Control of Gene Expression in Transgenic Mice., Proc. Natl. Acad. Sci. USA 93:10933-10938 (1996)	
B	Α×	Kojima et al., Adenovirus-mediated Transduction with Human Glial Cell Line-derived Neurotrophic Factor Gene Prevents 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine-induced Dopamine Depletion in Striatum of Mouse Brain, Biochem. Biophys. Res. Commun. 238:569-573 (1997)	
ري	AV	La Gamma et al., Genetically Modified Primary Astrocytes as Cellular Vehicles for Gene Therapy in the Brain., Cell Transplant. 2:207-214 (1993)	_
B	AZ	Levallois et al., An Adenovirus Vector Ecoding Tyrosine Hydroxylase Activity may Enter Huminical Artimacy Dissociated Cultures., Int. J. Dev. Neurosci. 14:613-619 (1996) AUG @ 3 2002	

EXAMINER SIGNATURE CONSIDERED CIBIOS

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

I Unique citation designation number. 2 applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 2023 i. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 2023 i.

	~ \	
MIR 0 1 2002	<u> </u>	PTO/SB/08A (6-95)
e this box		r use through 09/30/98, OMB 0651-0031 e: U.S. DEPARTMENT OF COMMERCE
U.S. Department of Sallmerce	Comple	ete if Known
Ratent and Trademark Office	Application Number	0.4/868,026
ART CITED BY	Filing Date	TAMAR 11, LOOL
CANT	First Named Inventor	ACOKY GY AL.
ts as necessary)	Group Art Unit	understones 1647
	Examiner Name	1 Acces NICHORS

A3400

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Attorney Docket Number

Please type a plus sign (4) inside this box

5

of

1449A/PTO

Sheet

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	т 2
روی	BA	Lin et al., Human Fetal Astrocytes in an Ex Vivo Gene Therapy Vehicle for Delivering Biologically Active Nerve Growth Factor, Hum. Gene Ther. 8:331-339 (1997)	
(B)	BB	Lundberg et al., Generation of DOPA-producing Astrocytes by Retroviral Transduction of the Human Tyrosinase Hydroxylase Gene: In vivo Characterization and In vivo Effects in the Rat Parkinson Model, Exp. Neurol, 139:39-53 (1996)	
6343	BC	Maron et al., Differential Toxicity of Ganciclovir for Rat Neurons and Astrocytes in Primary Culture following Adenovirus-Medialed Transfer of the HSVtk Gene, Gene Ther. 4:25-31 (1997)	
03	BO	Martinez-Serrano et al., Immortalized Neural Progenitor Cells for CNS Gene Transfer and Repair, Trends Nerosci. 20: 530-538 (1997)	
03	BE	Miller et al., Progress in Transcriptionally Targeted and Regulatable Vectors for Gene Therapy, Hum. Gene Ther. 8:803-815 (1997)	
روي	BF	Olanow et al., Fetal Nigral Transplantation as a Therapy for Parkinson's Disease, Trends Neruosci. 19:102-109 (1996)	
(3)	ВЬ	Perzelova et al., Appearance of GFAP-Positive Cells in Adult Human Brain Cultures Spontaneously Decelerated in Growth, Glia 7:237-244 (1993)	
65°	BH	Pundt et al., The Fate of Human Glial Cells Following Transplantation in Normal Rodents and Rodent Models of Neurodegenerative Disease, Brain Res. 695:25-36 (1995) AUG 0 6 2002	

	_			
EXAMINER SIGNATURE		7		DATE CONSIDERED A LEGICITION ACCUSAGE
	//へへ	Lu l	()	DATE CONSIDERED 6/8/056H CENTER 1600/2900
EXAMINER: Initial if reference con	srdered, v	whether of	not citation is i	n conformance with MPEP 609. Draw line through citation if not in
conformance and not considered. In	(lude cop	y of this fo	orm with next co	mmunication to applicant.

1 Unique citation designation number. 2 applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Please type a p		g g	AUG 0 1 2002	Statent and Trademark Office	PTO/SB/08A (6-95) use through 09/30/98, OMB 0651-0031 b: U.S. DEPARTMENT OF COMMERCE
T	W6~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	Patent and T	rademark Office	Application Number Filing Date	04/868,026
LIST OF PRIOR ART CITED BY MANGAPPLICANT (Use as many sheets as necessary)				First Named Inventor	BOXET OF AL
				Group Art Unit	180ALGERTOR RAT
				Examiner Name	MARKET NICHE
Sheet	6	of	7	Attorney Docket Number	A3400 1/5 PCT

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source,	Т2
w	B1	Reinhard et al., A Rapid and Sensitive Assay for Tyrosine-3-Monooxygenase Based Upon theRelease of 3H20 and Adsorption of (3H)-Tyorsine by Charcoal, Life Sci. 39:2185-2189 (1986)	
W)	135	Ridet et al., Reactive Astrocytes: Cellular and Molecular Cues to Biological Function., Trends Neruosci. 20:570-577 (1997)	
W)	BK	Ridoux et al., The use of Adenovirus Vectors for Intracerebral Grafting of Transfecting Nervous Cells, NeuroReport 5:801-804 (1994)	
ago	BL	Sabate et al., Transplantation to the Rat Brain of Human Neuroal Progenitors that were Genetically Modified using Adenovirus., Nature Genet. 9:256-260 (1995)	
19	BA	Saez et al., Inducible Gene Expression in Mammalian Cells and Transgenic Mice, Curr. Opin. Biotechnol. 8:608-616 (1997)	
03	BN	Shockett et al., A Modified tetracycline-Regulated System Provides Autoregulatory, Inducible Gene Expression in Cultured Cells and Transgenic Mice., Proc. Natl. acad. Sci. USA 92:6522-6526 (1995)	
9	Bo	Stachowiak et al., Growth Factor Regulation of Cell Groth and Proliferation in the Nervous System, Mol. Neurobiol. 15:257-283 (1997)	
لويا	BP	Streit, W.J., An Improved Staining Method for Rat Microglial Cells Using the Lectin from Griffonia Simplicifolia (GSA I-B4), J. Histochem. Cytochem. 38:1683-1686 (1990)	
	L	AUG 0 6 2002	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, chaluge copy of this form with next communication to applicant.

1 Unique citation designation number. 2 upplicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

PTO/SB/08A (6-95)

Please type a plus sign (+) inside

Approved for use through 09/30/98, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1449A/PTO U.S. Department of Commerce		Complete if Known		
		and Trademark Office	Application Number	04/868.026
LIST OF	PRIOR ART C	ITED EON PE	Filing Date	JAMAN 11, 2002
•	APPLICANT	سمه /	rst Named Inventor	BOG GAL
(use as many sheets as necessary) AUG-012 2004			Pup Art Unit	VALAKSKOMO 1617
		のり付してして	examiner Name	MAKES & PICHOCS
Sheet	7 of	1 THE TOTAL	Attorney Docket Number	A3400 US PCT

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	T2
cg	BO	Taylor, R., Cell Vehicles for Gene Transfer to the Brain, Neuromuscul. Dis. 7:343-351 (1997)	
49	BK	Wolff et al., Grafting Fibroblasts Genetically Modified to Produce L-DOPA in a Rat Model of Parkinson's Disease, Proc. Natl. Acad. Sci. USA 86:9011-9014 (1989)	
C80	B5	Yong et al., Gamma-interferon Promoters Proliferation of Adult Human Astrocytes In Vitro and Reactive Gliosis in the Adult Mouse Brain In Vivo., Proc. Natl. acad. Sci. USA 88:7016-7020 (1991)	
ريي	BT	Yoshimoto et al., Astrocytes Retroviraly Transduced with BDNF Elicit Behavioral Improvement in a Rat Model of Parkinson's Disease, Brain Res. 691:25-36 (1995)	
13	Bu	De Groot et al., Establishment of Human Adult Astrocyte Cultures Derived from Postmortem Multiple clerosis and Control Brain and Spinal Cord Regions, J. Neurosci. Res. 49(3):342-354 (1997)	
رچی	BV	Ridet et al., Toward Autologous Ex Vivo Gene Therapy for the Central Nervous system with Human Adult Astrocytes, Human Gene Therapy 10(2):271-280 (1999)	H
		RECEIVED	
		AUG 0 & 2002	Ш
		TECH CENTER 1600/2900	

EXAMINER SIGNATURE		I DATE CONSIDERED 2161	
	/ Alla Ma	(1)112	
		4/0/03	
FYAMINED : Initial if reference of	oncederal whether or not mission is	in conformance with MDED 600 Deciviling through sitedian	if not in

conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.